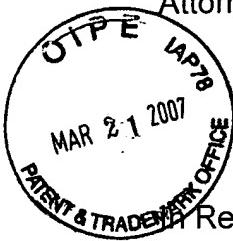


2828

IFW

Attorney's Docket No. 42P14879

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:)
Brad V. Johnson) Examiner: Menefee, James A.
Application No.: 10/082,597) Art Unit: 2828
Filed: February 22, 2002)
For: TUNABLE LASER WITH)
MAGNETICALLY COUPLED FILTER)

)

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESUBMISSION OF INFORMATION DISCLOSURE STATEMENT
WITH PROOF OF ORIGINAL FILING

Sir:

Further to a voicemail of March 15, 2007, in which the Examiner indicated that the Information Disclosure Statement filed May 10, 2002 was not present in the PTO file, Applicant has resubmitted the Information Disclosure Statement filed May 10, 2002. Applicant also has submitted a copy of the return receipt postcard as proof of filing of the Information Disclosure Statement. The stamp on the postcard indicates that the Information Disclosure Statement was originally received in the USPTO on May 21, 2002.

It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to Applicant.

We believe that no fee is required because the Information Disclosure Statement was originally filed under 37 C.F.R. § 1.97(b). However, if there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 3/19/2007

Jan Little-Washington
Reg. No. 41,181

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(206) 292-8600

Attachment: Postcard;

Copy of return postcard with USPTO stamp dated May 21, 2002;
Copy of Transmittal Form filed on May 11, 2002 (1 pg.);
Copy of Information Disclosure Statement filed on May 11, 2002 (1 pg.);
Copy of PTO/SB/08A form filed on May 11, 2002 (4 pgs.); and
Copies of 80 cited IDS art references.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on March 19, 2007
Date of Deposit
Yuko Tanaka

Name of Person Mailing Correspondence

Y. Tanaka

Signature

March 19, 2007

Date _____



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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

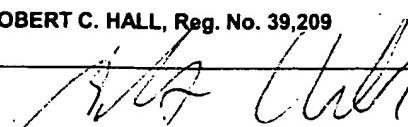
(to be used for all correspondence after initial filing)

		Application Number	10/082,597
		Filing Date	February 22, 2002
		First Named Inventor	JOHNSON, BRAD V.
		Group Art Unit	2874
		Examiner Name	To Be Assigned
Total Number of Pages in This Submission	6 + 82 cited references	Attorney Docket Number	NUFO009

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	1) PTO/SB/08A (4 pgs.)
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	2) 82 Cited References
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	3) Return Postcard
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks	

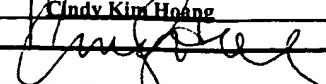
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	ROBERT C. HALL, Reg. No. 39,209
Signature	 6/10/02 DOCKETED
Date	May 10, 2002

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Typed or printed name Cindy Kim Hoang

Signature 

Date May 10, 2002

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Typed or Printed Name	Cindy Kim Hoang		
Signature	<i>Cindy Kim Hoang</i>		Date 5/10/02
INFORMATION DISCLOSURE STATEMENT		Attorney Docket	NUFO009
Address to: Commissioner for Patents Washington, D.C. 20231		First Named Inventor	JOHNSON, BRAD V.
		Application Number	10/082,597
		Confirmation No.	5739
		Filing Date	February 22, 2002
		Group Art Unit	2874
		Examiner Name	To Be Assigned
		Title: "TUNABLE LASER WITH MAGNETICALLY COUPLED FILTER"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. A Form PTO-SB/08A listing the references and copies of the cited references accompany this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

As applicants have not yet received a first Action on the merits, no fee is believed to be required for filing this Disclosure Statement. If, however, the PTO finds that for some reason a fee is due, our Deposit Account No. 50-0815, Order No. NUFO009 may be charged thereon.

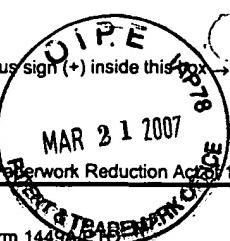
Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: 05/10/02

By: *Robert C. Hall*
ROBERT C. HALL
Registration No. 39,209

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PTO/SB/08A (08-00)

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Substitute for form 1449A-01 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/082,597
				Filing Date	February 22, 2002
				First Named Inventor	Johnson
				Group Art Unit	2874
				Examiner Name	To Be Assigned
Sheet	1	of	4	Attorney Docket Number	NUFO009

U.S. PATENT DOCUMENTS						
Examiner Initials'	Cite No. ¹	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
AA	3,967,211			Itzkan et al.	06-29-1976	
AB	4,309,671			Malyon	01-05-1982	
AC	4,504,950			AuYeung	03-12-1985	
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AM	5,050,179			Mooradian	09-17-1991	
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AQ	5,141,316			Lefevre et al.	08-25-1992	
AR	5,172,185			Leuchs et al.	12-15-1992	
AS	5,181,078			Lefevre et al.	01-19-1993	
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AU	5,218,610			Dixon	06-08-1993	
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BA	5,327,447			Mooradian	07-05-1994	
BB	5,331,651			Becker et al.	07-19-1994	

Examiner Signature		Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/082,597
		Filing Date	February 22, 2002
		First Named Inventor	Johnson
		Group Art Unit	2874
		Examiner Name	To Be Assigned
Sheet	2	of	4
			Attorney Docket Number
			NUFO009

BC	5,347,527	Favre et al.	09-13-1994
BD	5,331,651	Graindorge et al.	09-20-1994
BE	5,349,440	DeGroot	09-20-1994
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CB	6,043,883	Leckel et al.	03-28-2000
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CG	US 6,192,058	Abeles	02-20-2001
CH	US 6,205,159	Sesko et al.	03-20-2001

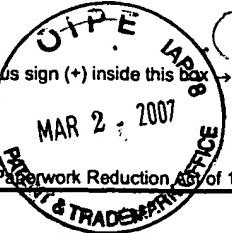
Examiner Signature	Date Considered
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STATEMENT BY APPLICANT**

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Sheet

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of

4

Application Number	10/082,597
Filing Date	February 22, 2002
First Named Inventor	Johnson
Group Art Unit	2874
Examiner Name	To Be Assigned

Attorney Docket Number

NUFO009

	CI	US 6,243,517	Deacon	06-05-2001	
	CJ	US 6,246,480	O'Brien	06-12-2001	
	CK	US 6,249,364	Martin et al.	06-19-2001	
	CL	US 6,252,718	Lefevre	06-26-2001	
	CM	US 6,282,215	Zorabedian et al.	08-28-2001	
	CN	US 6,304,586	Pease et al.	10-16-2001	
	CO	US 6,321,011	Deacon	11-20-2001	
	CP	US 6,330,253	Tuganov et al.	12-11-2001	
	CQ	US 6,331,892	Green	12-18-2001	
	CR	USRE 37,044	Wu	02-06-2001	
	CS	US 6,324,204	Deacon	02-27-2001	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
CT	EP	0924628			Kessenich et al.	08-11-1998		
CU	WO	98/44424			Marmor et al.	10-08-1998		

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
CV	FAVRE, et al. "External-Cavity Semiconductor Laser With 15 nm Continuous Tuning Range", Electronics Letters, June 19, 1996.						
CW	MACLEOD, H.A. Thin Film Optical Filters 2 nd Edition, McGraw-Hill, 1989, pgs 244-269.						
CX	MELLIS et al. "Miniature Packaged External-Cavity Semiconductor Laser With 50 Ghz Continuous Electrical Tuning Range" Electronics Letters, April 22, 1988.						
CY	ROSENBERG, K.P. et al. "Logarithmically Variable Infrared Etalon Filters," SPIE, Vol. 2262/223, pgs.223-232.						
CZ	SCOBAY & STUPIK, "Stable Ultra-Narrow Bandpass Filters, SPIE, Vol 2262, pgs.37-46						
DA	SIEGMAN A.E. "An Introduction to Lasers and Masers," Mc-Graw-Hill, Inc. 1971.						

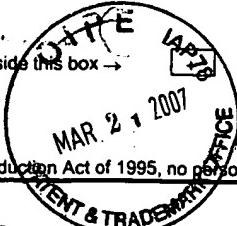
Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

4

of

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Complete if Known

Application Number	10/082,597
Filing Date	February 22, 2002
First Named Inventor	Johnson
Group Art Unit	2874
Examiner Name	To Be Assigned
Attorney Docket Number	NUFO009

	DB	TAKASHASHI, H. "Temperture Stability of Thin-Film Narrow-Bandpass Filters Produced by Ion-Assisted Deposition" Applied Optics, Vol. 34, No. 4, Feb. 1, 1995.	
	-DC	YODER, Optical Instrument Structural Design, pp. 612-619.	
	DD	ZHANG, X.X., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications" Coring OCA Corp. pgs. 103-108.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Atty. Docket No.: NUFO009
USSN: 10/082,597
Confirmation No.: 5739

Date Mailed: 5/10/02
Filing Date: February 22, 2002
Atty./Sec.: RCH/ckh

Title: "TUNABLE LASER WITH MAGNETICALLY COUPLED FILTER"

Enclosure(s):

- ❖ Transmittal (1 pg.)
- ❖ Information Disclosure Statement (1 pg.)
- ❖ PTO/SB/08A (4 pgs.)
- ❖ 82 Cited References
- ❖ Return Postcard

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Atty. Docket No.: NUFO009
USSN: 10/082,597
Confirmation No.: 5739

Date Mailed: 5/10/02
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